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EXAMINER

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/541,577	<b>Applicant(s)</b> TAZINE ET AL.	
	<b>Examiner</b> Joseph Saunders	<b>Art Unit</b> 2614	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 08 June 2010.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-20,23,24 and 26-29 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20,23,24,26 and 27 is/are rejected.
- 7) ☒ Claim(s) 28 and 29 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 05 July 2005 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |                                                                                     |                                                                   |
|-------------------------------------------------------------------------------------|-------------------------------------------------------------------|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)         | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)         | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____                                                         | 6) <input type="checkbox"/> Other: _____                          |

### DETAILED ACTION

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on December 14, 2009 has been entered.

### ***Claim Rejections - 35 USC § 103***

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1, 2, 4 – 10, 12, 13, 16 – 20, 23, and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Obrador (US 7,149,755 B2), hereinafter Obrador, in view of Khan et al. (US 7,277,766), hereinafter Khan, and Liou et al. (US 6,278,446), hereinafter Liou, and in further view of Csicsatka (US 2003/0158737), hereinafter Csicsatka.

**Claim 1:** Obrador discloses a method for creating or accessing a menu for audio content stored in a storage means, the content consisting of audio tracks, and the menu containing representations of said audio tracks, the method comprising:

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classifying ("organized") the audio tracks ("As used herein, the term "media object" refers broadly to any form of digital content, including text, audio, graphics, animated graphics and full-motion video," Column 3 Lines 55 – 58 also "digital content may be compressed using a compression format that is selected based upon the digital content type (e.g., an MP3 or a WMA compression format for audio works," Column 4 Lines 3 – 6) into groups or clusters (see "Browsing a Media Object Cluster Hierarchy," Column 9), wherein said classification is performed according to characteristic parameters of said audio tracks ("The metadata similarity may correspond to low-level features (e.g., motion activity, texture or color content, and audio content) or high-level features (e.g., meta data, such as keywords and names; objects, such as persons, places and structures; and time-related information, such as playback length and media object creation date). One or more known media object processing techniques (e.g., pattern recognition techniques, voice recognition techniques," Column 9 Lines 53 – 67);

detecting addition of a new audio track ("As these collection grow in number and diversity, individuals and organizations increasingly will require systems and methods for organizing and browsing the digital content of their collections," Column 1 Lines 18 – 21, and therefore the system must detect new audio tracks in order to organize the growing collection.);

determining characteristic parameters of the new audio track ("metadata similarity criteria").

Obrador does not disclose wherein said characteristic parameters comprise physical features, perceptual features, and psychological features, wherein, physical

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features comprise one or more of spectral centroid, short-time energy, or short-time average zero-crossing, and wherein perceptual features comprise one or more of rhythm and tonality. Obrador does state with reference to browsing and organizing media, "In some embodiments, the relevance criteria used to select the media objects that will be presented contemporaneously with the selected media file may relate to a selected metadata similarity between media objects and the selected media file. The metadata similarity may correspond to low-level features (e.g., motion activity, texture or color content, and audio content) or high-level features (e.g., meta data, such as keywords and names; objects, such as persons, places and structures; and time-related information, such as playback length and media object creation date). One or more known media object processing techniques (e.g., pattern recognition techniques, voice recognition techniques, color histogram-based techniques, and automatic pan/zoom motion characterization processing techniques) may be used to compare media objects to the selected media file in accordance with the selected metadata similarity criterion," Column 9 Lines 48 – 67. Khan discloses a method and system for analyzing digital audio files. Khan teaches, "One advantage of the foregoing aspects of the present invention is that unique audio signatures may be assigned to audio files. Also various attributes may be tagged to audio files. The present invention can generate a customized playlist for a user based upon audio file content and the attached attributes. Hence making the music searching experience easy and customized," Column 3 Lines 24 – 30. "Some of the features that can be associated with the audio files are: (a) Emotional quality vector values that indicates whether an audio file content is Intense,

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Happy, Sad Mellow, Romantic, Heartbreaking, Aggressive or Upbeat. (b) Vocal vector values that indicates whether the audio file content includes a Sexy voice, a Smooth voice, a Powerful voice, a Great voice, or a Soulful voice. (c) Sound quality vector values that indicates whether the audio file content includes a strong beat, is simple, has a good groove, is fast, is speech like or emphasizes a melody. (d) Situational quality vector values that indicate whether the audio file content is good for a workout, a shopping mall, a dinner party, a dance party, slow dancing or studying. (e) Ensemble vector values indicating whether the audio file includes a female solo, male solo, female duet, male duet, mixed duet, female group, male group or instrumental. (f) Genre vector values that indicate whether the audio file content belongs to a plurality of genres including Alternative, Blues, Country, Electronics/Dance, Folk, Gospel, Jazz, Latin, New Age, Rhythm and Blues (R and B), Soul, Rap, Hip-Hop, Reggae, Rock and others. (g) Instrument vectors that indicates whether the audio file content includes an acoustic guitar, electric guitar, bass, drum, harmonica, organ, piano, synthesizer, horn or saxophone,” Column 7 Lines 19 – 45. Khan continues, “As discussed in step S901, certain features or parameters are extracted from an audio file signal. The features of this methodology are based on Short Time Fourier Transform (STFT) analysis,” Column 8 Lines 56 – 60. The following STFT-based features may be extracted in step S901: Spectral Centroid, Spectral Rolloff, Spectral Flux, Peak Ratio, Subband energy vector, Subband flux, and Subband Energy Ratios, Column 9 Lines 12 – 56. Therefore, since Obrador suggests using various analysis techniques to capture various features of audio content, it would have been obvious to one of ordinary skill in the art at the time of

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the invention to use the well known digital audio analysis techniques as disclosed by Khan to capture the various features of the digital audio content, thereby realizing the aforementioned advantages.

Obrador and Khan further disclose selecting automatically a first audio track as being a representative for the cluster, wherein the medoid of the cluster is selected ("For example, the media objects may be ordered in accordance with a selected context criterion, and the representative media object may correspond to the centroid or some other statistically-weighted average of a selected cluster of the ordered media objects," Obrador Column 10 Lines 35 – 39);

automatically generating a reproducible audio extract from said representative audio track; and associating said audio extract as representative of said cluster to a menu list ("Media objects 98 may be indexed with logical links into the set of data structure sequences, as shown in Fig. 8A. Each data structures sequence link into a media file may be identify a starting point in the media file and the length of the corresponding sequence," Obrador Column 7 Lines 46 – 50 also "The media file and the media objects preferably are presented to the user through multimedia album page, which is a windows-based GUI that is displayed on a display monitor 42 (Fig. 2)," Obrador Column 8 Lines 3 – 7).

While Obrador and Khan must be able to detect and determine characteristic parameters of new audio tracks in order to organize and add to the growing collection, Obrador and Khan do not disclose the specifics of clustering the newly added track thus one of ordinary skill in the art at the time of the invention would be motivated to look

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elsewhere for such a teaching since a teaching of how new tracks are added is necessary for organizing a dynamic database as taught by Obrador and Khan. As a result of the missing teachings, Obrador and Khan are silent to the claimed limitations regarding, determining that dissimilarity between the newly added track and existing clusters, according to said characteristic parameters used for classification, reaches at least a defined minimum level; upon said determining, automatically creating a new cluster; assigning the new audio track to said new cluster, second cluster; upon said creating the second cluster, classifying one or more further audio tracks of said audio tracks into the second cluster.

Liou discloses a method for organization and browsing of media similar to Obrador. Liou further teaches in the art of clustering with regards to organizing media and in particular adding new media," The preferred shot [in the case of Obrador and Khan, the "shot" would refer to the "audio track"] grouping method is based on nearest neighbor classification, combined with a threshold criterion. This method satisfies the constraints discussed above, where no a priori knowledge or model is used. The initial clusters are generated based on the color feature vector [in the case of Obrador and Khan, the "color feature vector" would refer to the "audio feature vector"] of the shots [audio tracks]. Each initial cluster is specified by a feature vector which is the mean of the color feature vectors [audio feature vectors] of its members. When a new shot [audio track] is available, the city block distance between its color feature vector [audio feature vector] and the means or feature vectors of the existing clusters is computed. The new shot [audio track] is grouped into the cluster with the minimum distance from



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its feature vector, provided the minimum distance is less than a threshold. If an existing cluster is found for the new shot [audio track], the mean (feature vector) of the cluster is updated to include the feature vector of the new shot [audio track]. Otherwise, a new cluster is created with the feature vector of the new shot [audio track] as its mean. The threshold is selected based on the percentage of the image pixels [e.g., audio samples] that need to match in color [audio feature], in order to call two images [audio tracks] similar,” Column 10 Lines 35 – 53 and Figure 11. Liou further states, “Other features may also be used to produce the clusters, including audio similarity,” Column 11 Lines 1 – 5.

Therefore, as seen above as indicated by the bracketed text added by the Examiner, it would have been obvious to one of ordinary skill in the art of clustering at the time of the invention to incorporate the teachings of Liou regarding the claimed determining that dissimilarity between the newly added track and existing clusters, according to said characteristic parameters used for classification, reaches at least a defined minimum level; upon said determining, automatically creating a new cluster; assigning the new audio track to said new cluster, classifying the audio tracks into the groups or clusters, including the second cluster, thus motivating one of ordinary skill in the art to look elsewhere for such a teaching in order to realize the invention, in the invention of Obrador and Khan thereby providing a teaching for adding new audio tracks in a suitable manner in order to organize the growing collection of Obrador and Khan, since as stated by Liou, “Other features may also be used to produce the clusters, including audio similarity,” Column 11 Lines 1 – 5.

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While Obrador, Khan, and Liou do not disclose a portable audio playback device without display, Csicsatka discloses a portable audio player and teaches, "In this embodiment, the user interface provides menu driven selection, sorting, and playback of audio data files and display of elapsed playback time, volume level, and preset DSP mode. Additionally, prior to or after playback of an audio data file, the audio data player can play back the informational audio tag files associated with a selected audio data file in order to announce the selection. The audio tag file functions as part of a user interface, thereby allowing a user to select a particular audio data file, without having to view the LCD, by stepping through selections and listening to the announced information associated with audio data file," [0019]. Csicsatka goes on to explain, "Alternatively, audio tag files may be played every time forward skip or reverse skip is selected by the user of a playback device. Alternatively, the player may include a designated key, or a keystroke sequence, that allows the user to call up and playback the audio tag information at anytime during playback of a selected audio file. Upon activation of such a key, the playback of the selected audio file is muted, paused, or the volume is lowered, while the audio tag information is played back, and upon completion of the tag information the playback of the selected audio file continues as before. Such a feature would advantageously allow the user to obtain the audio tag information during playback of a track when it is difficult or inconvenient to obtain the associated information by looking at a visual display," [0044]. Given the teachings of Csicsatka of using "audio tag file functions as part of a user interface, thereby allowing a user to select a particular audio data file, without having to view the LCD," it would have been

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obvious to one of ordinary skill in the art that a similar method could be used in a device without a display thereby providing a reduction in components thus decreasing the cost associated with having a display while also reducing the size of the device by the size needed for a display. Therefore, given the aforementioned advantages it would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate the teachings of Csicsatka of using audio tags in a device without a display which has the audio capabilities as taught by Obrador, Khan, and Liou, thereby improving the device of Obrador, Khan, and Liou.

**Claim 2:** Obrador, Khan, Liou, and Csicsatka disclose the method according to claim 1, wherein said characteristic parameters used for classification of audio content comprise one or more audio descriptors, the audio descriptors being either physical features, or perceptual features, or psychological or social features of the audio content ("The metadata similarity may correspond to low-level features (e.g., motion activity, texture or color content, and audio content) or high-level features (e.g., meta data, such as keywords and names; objects, such as persons, places and structures; and time-related information, such as playback length and media object creation date). One or more known media object processing techniques (e.g., pattern recognition techniques, voice recognition techniques," Obrador Column 9 Lines 53 – 67)

**Claim 4:** Obrador, Khan, Liou, and Csicsatka disclose the method according to claim 1, wherein the audio tracks within a cluster have variable order, so that the user listens to

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a randomly selected track when having selected a cluster, with said track belonging to said cluster (variable based on similarity, Obrador).

**Claim 5:** Obrador, Khan, Liou, and Csicsatka disclose the method according to claim 1, wherein a user can modify the result of automatic classification of audio tracks (e.g., by choosing a different anchor, Obrador).

**Claim 6:** Obrador, Khan, Liou, and Csicsatka disclose the method according to claim 1, wherein a user can modify the classification rules for automatic classification of audio tracks (e.g., by choosing a different anchor, Obrador).

**Claim 7:** Obrador, Khan, Liou, and Csicsatka disclose the method according to claim 1, wherein the actual audio data are clustered within said storage means according to said menu ("The media file and the media objects preferably are presented to the user through multimedia album page, which is a windows-based GUI that is displayed on a display monitor 42 (Fig. 2)," Obrador Column 8 Lines 3 – 7).

**Claim 8:** Obrador, Khan, Liou, and Csicsatka disclose the method according to claim 1, wherein the audio extract is a sample from the audio track ("Media objects 98 may be indexed with logical links into the set of data structure sequences, as shown in Fig. 8A. Each data structures sequence link into a media file may be identify a starting point in

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the media file and the length of the corresponding sequence,” Obrador Column 7 Lines 46 – 50).

**Claim 9:** Obrador, Khan, Liou, and Csicsatka disclose the method according to claim 1, wherein audio extracts are created additionally for audio tracks not being representatives of clusters (“Media objects 98 may be indexed with logical links into the set of data structure sequences, as shown in Fig. 8A. Each data structures sequence link into a media file may be identify a starting point in the media file and the length of the corresponding sequence,” Obrador Column 7 Lines 46 – 50).

**Claim 10:** Obrador, Khan, Liou, and Csicsatka disclose the method according to claim 1, wherein the length of audio extracts is not predetermined (“Media objects 98 may be indexed with logical links into the set of data structure sequences, as shown in Fig. 8A. Each data structures sequence link into a media file may be identify a starting point in the media file and the length of the corresponding sequence,” Obrador Column 7 Lines 46 – 50).

**Claim 12:** Obrador, Khan, Liou, and Csicsatka disclose the method according to claim 1, wherein said menu is hierarchical, such that a cluster may contain one or more subclusters (see “Browsing a Media Object Cluster Hierarchy,” Obrador Column 9).

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**Claim 13:** Obrador, Khan, Liou, and Csicsatka disclose the method according to claim 1, wherein the classification rules are modified automatically if a defined precondition is detected, and a reclassification may be performed (e.g., by choosing a different anchor, Obrador).

**Claim 20:** Obrador, Khan, Liou, and Csicsatka disclose the method according to claim 1, wherein said one or more further audio tracks classified into the second cluster were previously classified in a different first cluster (“Delete member from cluster and place in new cluster recomputed cluster mean,” step L Figure 11 of Liu), the method further comprising the steps of:

selecting automatically a second audio track being a representative for the first cluster, wherein the medoid of the new cluster is selected (Since “objects are grouped into clusters, each of which preferably contains a fixed number of media objects,” there must be the creation of new clusters when the collection grows in number and diversity, and therefore the system selects a media object corresponding to “the centroid or some other statistically-weighted average of a selected cluster of the ordered media objects,” Obrador Column 10 Lines 18 – 39);

automatically generating a reproducible new audio extract from the second audio track; and associating said new audio extract of the second audio track as representative of the first cluster to the menu list (“Media objects 98 may be indexed with logical links into the set of data structure sequences, as shown in Fig. 8A. Each data structures sequence link into a media file may be identify a starting point in the

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media file and the length of the corresponding sequence," Obrador Column 7 Lines 46 – 50 also "The media file and the media objects preferably are presented to the user through multimedia album page, which is a windows-based GUI that is displayed on a display monitor 42 (Fig. 2)," Obrador Column 8 Lines 3 – 7).

**Claim 26:** Obrador, Khan, Liou, and Csicsatka disclose the method according to claim 20, wherein another audio track was a representative of the first cluster before the new audio track was added, and said first audio track being representative of the first cluster is different from the other audio track that was representative of the first cluster before the new audio track was added ("For example, the media objects may be ordered in accordance with a selected context criterion, and the representative media object may correspond to the centroid or some other statistically-weighted average of a selected cluster of the ordered media objects," Obrador Column 10 Lines 35 – 39, and "Update Cluster Mean", "Recompute Cluster Mean", Liou Figure 11).

**Claims 16 – 18** are substantially similar in scope to claim 1 and is also disclosed in Figure 2, and therefore is rejected for the same reasons as claim 1 with addition of Figure 2.

**Claim 19:** Obrador, Khan, Liou, and Csicsatka disclose the method according to claim 1, wherein the audio extract is an audio sequence being synthesized from the actual audio track rather than being an original sample ("Media objects 98 may be indexed

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with logical links into the set of data structure sequences, as shown in Fig. 8A. Each data structures sequence link into a media file may be identify a starting point in the media file and the length of the corresponding sequence. The data structure sequences may be consecutive, as shown in FIG. 8B, or non-consecutive,” Obrador Column 7 Lines 46 – 55, and therefore a non-original sample sequence.

**Claim 23** is substantially similar in scope to claim 20 and therefore is rejected for the same reasons.

4. Claims 3, 11, and 27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Obrador, Khan, Liou, and Csicsatka in view of Platt (US 6,987,221), hereinafter Platt.

**Claim 3:** Obrador, Khan, Liou, and Csicsatka disclose the method according to claim 1, but do not disclose whether or not an audio track can be classified into more than one cluster. Platt discloses a similar clustering technique for audio and while not explicitly stated teaches, the tracks are placed in the playlist based upon the results of a vector which is based upon multiple attributes of the item (Column 10 Lines 9 – 48). Therefore, it would have been obvious to one of ordinary skill in the art that when generating multiple playlists as disclosed by Platt that the system of Platt may decide that a song may have the minimum required attributes necessary to match more than one playlist category and therefore be classified in more than one playlist. Since excluding songs from being in more than one playlist would be disadvantages to the user, since the user



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wants the best matching songs in each playlist. Therefore, when applying a similar technique in Obrador, Khan, Liou, and Csicsatka, it would have been obvious to one of ordinary skill in the art at the time of the invention to generate clusters in a similar manner.

**Claim 27:** Obrador, Khan, Liou, Csicsatka, and Platt disclose the method according to claim 3, wherein a track is classified into two clusters and both clusters contain a link to said track (“link”, “pointer”, Obrador Column 6 Lines 39 – 47) and wherein the track is stored only once (“all media files in a selected collection are stored only once in data base 96 (FIG. 7B),” Obrador Column 7 Lines 40 – 45).

**Claim 11:** Obrador, Khan, Liou, and Csicsatka disclose the method according to claim 1, but do disclose wherein one of said clusters has no representative track. Platt discloses a similar clustering technique for audio and while not explicitly stated teaches how to determine the order among seed items when more than one seed item is selected. And therefore while one of ordinary skill in the art may consider any one of the seed items in this case to be the representative track, it would also have been obvious to one of ordinary skill in the art at the time of the invention that a representative track does not exist since a determination cannot be made among seed items. Therefore, when applying a similar technique in Obrador, Khan, Liou, and Csicsatka, it would have been obvious to one of ordinary skill in the art at the time of the invention to generate clusters in a similar manner.

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5. Claims 14 and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Obrador, Khan, Liou, and Csicsatka in view of Mercer et al. (US 7,043,477), hereinafter Mercer.

**Claims 14 and 15:** Obrador, Khan, Liou, and Csicsatka disclose the method according to claim 13, but do not disclose wherein said precondition comprises that the difference between the number of tracks in a cluster and the number of tracks in another cluster reaches a maximum limit value, and wherein said precondition comprises that all stored tracks were classified into one cluster, and the total number of tracks reaches a maximum limit value. Mercer discloses where bounds are set when determining the size of playlists (Column 8 Line 40 – Column 9 Line 62). Therefore, it would have been obvious to one of ordinary skill in the art given the teaching of Mercer to incorporate a limit between two playlists or a single sequence in the invention of Obrador, Khan, Liou, and Csicsatka to determine how classification is performed, thereby allowing for example “If composer information is available for some of the selected media files (e.g., “if greater than twenty-five percent), the authoring software creates a menu ‘Composer’ ...” thereby further automating the classification process, Mercer Column 9 Lines 22 – 27.

6. Claim 24 is rejected under 35 U.S.C. 103(a) as being unpatentable over Obrador, Khan, Liou, and Csicsatka in view of Robinson (US 7,072,846 B1), hereinafter

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Robinson, with further support from Ferhatosmanoglu et al. (Approximate Nearest Neighbor Searching in Multimedia Databases), hereinafter Ferhatosmanoglu.

**Claim 24:** Obrador, Khan, Liou, and Csicsatka disclose the apparatus according to claim 16 but do not disclose wherein the means for assigning one or more of the audio tracks of said first cluster to the new second cluster to the new cluster uses the K-means algorithm to decide which audio tracks are assigned to the second cluster.

Robinson discloses a similar method and system for clustering songs and recommending the best song in the cluster to the user, Column 13 Lines 46 - 54.

Robinson also teaches setting “the average number of songs desired per cluster,” Column 4 Lines 65 – 67, similar to Obrador’s teaching of fixing the number of media objects in a cluster. Robinson further explains, “As new songs are added to the system, new clusters are automatically created such that the average number of songs remains approximately the same; the optimization process then populates the cluster. These clusters, in various embodiments, may start out empty before they are optimized, or may be initially populated with new songs or randomly chosen songs,” Column 4 Line 67 – Column 5 Line 7. Robinson also teaches that a wide range of clustering approaches fall within the scope of the invention and gives provides source code for the standard k-means clustering concept as an example. To further support the technique of Robinson, Ferhatosmanoglu teaches the “k-means algorithm [13] iteratively constructs a number of clusters with a representative for each cluster such that the error in representation is minimized,” Page 506 Column 2. Ferhatosmanoglu like Obrador and

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Robinson also teaches the clustering algorithm limits “the size of each cluster from both above and below,” Page 507 Column 1. Ferhatosmanoglu explains, “If the size goes above the upper threshold, the cluster is split into two. If the size goes down below the lower threshold, then the cluster centroid is erased from the list of centroids. To split a cluster, we first duplicate the cluster centroid, and then perturb the exact copies randomly. It is known that K-means algorithm is sensitive to initialization. Since we have this splitting mechanism, instead of starting from cluster centroids chosen by some pre-processing scheme, we start by a single cluster, and the algorithm automatically creates new clusters until the population of each cluster is below the threshold. As we will demonstrate later, by having a lower threshold for cluster size, several queries can be answered by retrieving only a very small number of clusters. Also, by limiting the cluster sizes from above, we avoid extremely unbalanced distribution of data over the clusters. Although the minimum and maximum cluster sizes are not dominant factors in the performance of our technique, reasonable values need to be set for the design purposes. Therefore, given the teachings of Robinson and Ferhatosmanoglu, it would have been obvious to one of ordinary skill in the art at the time of the invention to use the k-means algorithm as suggested by Robinson and further explained by Ferhatosmanoglu with limits placed on the size of the clusters when adding new songs to a collection in the invention of Obrador, Khan, Liou, and Csicsatka, thereby realizing the aforementioned advantages while fixing the number of media objects in a cluster that may be conveniently presented to a user at the same time (Obrador Column 10 Lines 23 – 27).

***Allowable Subject Matter***

7. **Claims 28 and 29** are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

***Response to Arguments***

8. Applicant's arguments filed June 8, 2010 have been fully considered but they are not persuasive. Applicant argues, "Lieu puts all of its "shots" into clusters, creating new clusters whenever a given shot is sufficiently dissimilar to existing shots (block D). When no more shots exist, it moves onto the cited portion of the procedure, H-P. However, although block L places members into new clusters, such placement is not done upon the creation of a new cluster. In fact, it is entirely possible for Liou's procedure to use one, and only one, cluster, never creating a new cluster at all. For example, if the shots all fall within the given color threshold, the procedure will never reach block F and no new clusters will be created. Even if clusters were to be created, there is no logical connection between such creation (block E) and the eventual movement of movement of members between clusters (block L). Bear in mind that the claim recites "upon said creating the second cluster." This language ':' creates a causal relationship between the creation of the second cluster and the classification of further audio tracks into said second cluster. Lieu fails to disclose or suggest such a causal relationship". The Examiner respectfully disagrees with this argument because the claim

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language like block D of Liou also calls for “determining that dissimilarity between the newly added track and existing clusters, according to said characteristic parameters used for classification, reaches at least a defined minimum level” and therefore

Applicants claim also would not reach the steps “automatically creating a new, second cluster; assigning the new audio track to said new, second cluster; upon said creating the second cluster” because these steps are only performed “upon said determining”.

9. Applicant also argues, “It is respectfully pointed out to the Examiner that medoids are distinct from centroids. As those having ordinary skill in the art will recognize, a medoid will always be a member of the data set it refers to, whereas a centroid has no such requirement. In this respect, they are analogous to the concepts of “mean” and “median.” For example, taking the data points { 1, 9, and 11}, the centroid will be 7, whereas the medoid will be 9. Citing the use of a centroid therefore does not read on the present claims, as a centroid will not necessarily be a medoid. Neither Khan nor Liou make any reference to medoids and cannot cure the deficiencies of Obrador in this respect. It is therefore respectfully asserted that Obrador, Khan, and/or Liou, taken alone or in combination, fail to disclose or suggest selecting the medoid audio track as being a representative of the second cluster”. In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the Obrador discloses, “the representative media object may correspond to the centroid or some other statistically-weighted average of a selected cluster of the ordered media objects,” Obrador Column 10 Lines 35 – 39). Therefore, as admitted by Applicant in the argument that a centroid is analogous to “mean” and a medoid is analogous to “median”

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and both “mean” and “median” are very well known averages, likewise Obrador’s statement encompasses the use of the medoid since a medoid is some other statistically-weighted average of a selected cluster of the ordered media objects other. At the very least it would have been obvious to one of ordinary skill in at the time of the invention to use a medoid given Obrador’s statement.

10. Further Applicant argues, “Liou teaches not to use K-means clustering,” however the specific teaching of Liou at the column and lines indicted by Applicant states, “The number of potential clusters a priori is not known, so known K-means clustering and other strategies using this a priori information are also not useful”. Therefore Liou does not teach that you would not want to ever want to use K-means clustering only that K-means clustering techniques known to Liou at the time are not useful. Again it is noted that this limitation regarding K-means does not appear in the independent claims but in claim 24 in which the Examiner relied on Robinson and Ferhatosmanoglu for teaching a K-means algorithms that address the original concerns of Liou. Specifically, Liou states, “The choice of clustering strategy is limited by having no a priori knowledge of the number of clusters or assumptions about the nature of the clusters,” however in the case of Ferhatosmanoglu who uses a modified K-means clustering strategy, the strategy is not limited by having no a priori knowledge of the number of clusters or assumptions about the nature of the clusters. Specifically, Ferhatosmanoglu states, “It is known that K-means algorithm is sensitive to initialization. Since we have this splitting mechanism, instead of starting from cluster centroids chosen by some pre-processing scheme, we start by a single cluster, and the algorithm automatically creates new

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clusters until the population of each cluster is below the threshold,” page 507 column 1 of Ferhatosmanoglu. Therefore Ferhatosmanoglu’s modified K-means is not limited by a having no a priori knowledge of the clusters since Ferhatosmanoglu’s uses a splitting scheme instead of a pre-processing scheme requiring a prior knowledge.

### ***Conclusion***

11. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joseph Saunders whose telephone number is (571) 270-1063. The examiner can normally be reached on Monday - Thursday, 9:00 a.m. - 4:00 p.m., EST.



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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Vivian Chin can be reached on (571) 272-7848. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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/J. S./  
Examiner, Art Unit 2614

/Xu Mei/  
Primary Examiner, Art Unit 2614